

Forward To: 214 West 9<sup>th</sup> Street  
 PO Box 420  
 Onaga, KS 66521-0420  
 P: 800.521.9897  
 F: 913.901.4190  
 assets@mainstartrust.com

**Borrower/Issuer**

**Type of Borrower/Issuer**

Corporation, Partnership or other legal entity     Individual     Other: \_\_\_\_\_

<b>Borrower Name:</b>			
<b>Contact Name:</b>			
<b>Address:</b>		<b>Business Phone:</b>	
		<b>Fax:</b>	
<b>Website:</b>			
<b>Email Address:</b>			

The role of Mainstar Trust as custodian of self-directed accounts is nondiscretionary and/or administrative in nature. The Accountholder or his/her authorized representative must direct all investment transactions and choose the investment(s) for the account. Mainstar Trust has no responsibility or involvement in selecting or evaluating any investment. It neither endorses nor recommends investment in any asset and does not provide any legal, tax, investment or any other advice with respect to any investment and Issuer agrees to make no representation to the contrary. Issuer further acknowledges that Mainstar Trust acceptance of an asset indicates only that the asset meets the requirements of Mainstar Trust's existing system and procedures and in no way can be construed to be either an endorsement or evaluation of merit or an acknowledgement that the asset has been approved by any regulatory or supervisory body. Mainstar Trust collects the information below solely for administrative review purposes.

**Servicing Agent**

Are payments on the Note to be made by a Servicing Agent?     Yes     No

If yes, will the Servicing Agent hold the original executed Note?     Yes     No

<b>Servicing Agent:</b>			
<b>Address:</b>		<b>Business Phone:</b>	
		<b>Fax:</b>	
<b>Email Address:</b>			

**Source of Note Payments to Mainstar Trust**

If payments on the Note will be coming to Mainstar Trust from an individual or entity OTHER THAN the Borrower/Issuer or Servicing Agent above, please explain:

<b>Internal Use Only</b>	
CUSIP:	Payee:

**Documents Required to Review Note**

**Original Note** (or copy if original is held by Servicing Agent)  
**Amortization Schedule**  
**Deed of Trust, Mortgage, Security Agreement or Payment History** (if applicable)  
**Prospectus, Memorandum and/or Subscription documents** (if applicable)

Mainstar review for Administrative Feasibility may take 48-72 hours.

**The Issuer hereby agrees, represents and/or acknowledges the following to Mainstar Trust:**

- Within 60 days of purchase, assignment, or re-registration of the Note, Borrower/Issuer/Servicing Agent will provide Mainstar Trust with either the original executed note and original recorded Deed of Trust (if applicable) or a copy of same if the original(s) are held by a Serving Agent. Borrower/Issuer/Servicing Agent further acknowledges that failure to do so may cause Mainstar Trust to distribute the asset which may result in a taxable event to the accountholder.
- The note will be correctly registered to Mainstar, per our delivery instructions provided at the time of purchase/transfer.
- Accountholders will be provided applicable documentation as appropriate for the investment.
- Issuer will not use Mainstar Trust's name in advertising, printed or web-based material or any other form of communication without its express written consent.
- Mainstar Trust is not responsible for computing payoff balances or preparing or filing Form 1098.
- To the extent attributable to the fraud, negligence, or misconduct of the Borrower/Issuer, Borrower/Issuer will indemnify and hold Mainstar Trust harmless from and against any and all damages, claims, losses, expenses or costs of any nature (including without limitation any court costs and attorney's fees) asserted against or incurred by Mainstar Trust as a result of legal actions involving the investment or the asset.
- All payments related to the asset or associated with the investment will be sent directly to Mainstar Trust in a timely manner for credit to the appropriate Mainstar Trust account. Under no circumstances will the Borrower/Issuer distribute any monies, interest or assets directly to a Mainstar Trust accountholder prior to an in-kind distribution of the asset to the accountholder. Borrower/Issuer is solely responsible for any tax, legal, or penalty damages and charges associated with the direct distribution of any monies, interest or assets by the Borrower/Issuer to the accountholder.
- Borrower/Issuer will notify Mainstar Trust if any terms of the note have changed i.e. maturity date, interest rate, payment schedule, stock conversion, etc.
- Borrower/Issuer understands that accountholders may need to re-register or sell part of the asset to meet required minimum distribution rules.
- Borrower/Issuer will not accept any change, assignment, re-registration, or change of registered owner address for any asset that lists Mainstar Trust as custodian but does not bear an authorized signature of Mainstar Trust and will notify Mainstar Trust immediately upon receipt of any such documents.
- Borrower/Issuer understands that failure to send payments directly to Mainstar Trust may make the asset no longer administratively feasible for Mainstar Trust to custody. In this event, Borrower/Issuer agrees that upon direction from Mainstar Trust the asset will be reregistered to either the accountholder or to another custodian.

**Signature Required**

**I, as the individual Borrower/Issuer or I, as the General Partner, Managing Member, Corporate Officer or other authorized individual of the above named legal entity Borrower/Issuer, hereby agree to the above terms, conditions and representations on behalf of the Borrower/Issuer.**

\_\_\_\_\_  
Authorized Signature of **Borrower/Issuer**

\_\_\_\_\_  
Date

\_\_\_\_\_  
Printed Name

\_\_\_\_\_  
Title