

**TAXABLE ACCOUNT  
PURCHASE AUTHORIZATION**

Forward To: 214 W 9<sup>th</sup> Street  
PO Box 420  
Onaga, KS 66521-0420  
P) 800.521.9897  
F) 913.901.4190  
Purchases@mainstartrust.com

Please Print or Type

**ACCOUNT DETAILS**

Account Name		Account Number	
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**PUBLICLY TRADED ASSET  
(Stock, Bond, Government Security)**

Asset Name			
Ticker		Units/Shares to Buy	
CUSIP		Net Dollar Value to Buy	
	<input type="checkbox"/> <b>Buy at Market Price</b> Mainstar Trust to facilitate with broker of its choice <input type="checkbox"/> <b>Limit Order Price Per Share: \$ _____</b> Order will not be executed unless the market price is met. Mainstar Trust to facilitate with broker of its choice. <input type="checkbox"/> <b>Buy Already Executed by Rep/Broker</b> (If this box is selected, Mainstar Trust will not facilitate the purchase)		
Note: By default, assets purchased will receive a cash dividend, if applicable. If you wish for the asset to reinvest, a Dividend Change Request form will need to be submitted.			

**MUTUAL FUND**

Asset Name			
Ticker		Net Dollar Value to Buy	
CUSIP		Breakpoint (if applicable)	
Dividend Option	<input type="checkbox"/> Cash <input type="checkbox"/> Reinvest (default if no boxes are checked)		
<b>The following section must be completed in full if assigning a financial advisor to the mutual fund being purchased.</b>			
Name of Advisor		Advisor ID Number	
Address		Branch Number	
City, State Zip		Name of Broker Dealer	

**OTHER ASSET  
(Annuity, Private Stock, LLC, LP, REIT, Etc.)**

Asset Name		Units/Shares to Buy	
CUSIP		Net Dollar Value to Buy	

**FUNDING INFORMATION**

<p><b>Required:</b> Check One</p> <p><input type="checkbox"/> Check</p> <p><input type="checkbox"/> Wire (instructions must be provided)</p>	<p><b>Optional:</b> Check One</p> <p><input type="checkbox"/> Overnight Delivery (a fee will be assessed)</p> <p><input type="checkbox"/> Use my overnight account (no fee will be assessed)</p> <p>Company _____</p> <p>Account Nbr _____ Zip Code _____</p>
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## SUITABILITY OF INVESTMENT

By signing this form, I acknowledge that this account is self-directed and that I am solely responsible for the investment outcomes within the account, and further acknowledge the following provisions with respect to my account.

*Suitability Requirements* - I have had the opportunity to review this transaction with tax, legal, financial and/or other advisors of my choice and am satisfied that I meet all suitability requirements imposed by the investment entity and the investment is suitable for this account. I understand that Mainstar Trust does not make any determination with respect to whether the transaction meets any of the suitability requirements of the offering. Further, I understand that Mainstar Trust has no duty to conduct any suitability analysis on my behalf.

*Funding* - I understand that it is my responsibility to ensure that sufficient funds are available for the initial purchase. If the investment contains a provision for subsequent payments, I acknowledge that such payments are the responsibility of my account. Prior to any such subsequent payments, Mainstar Trust must receive written direction from me or my designated representative. I further agree to indemnify Mainstar Trust from all payments or assessments that may result from holding the investment within my account. I understand that Mainstar Trust is under no obligation to extend credit to my account or otherwise disburse payment beyond the cash balance of my account for any payment or assessment related to the investment.

*Administrative Review* - I acknowledge that any review conducted by Mainstar Trust was solely to determine that the investment is administratively feasible to hold by Mainstar Trust for my account. I further acknowledge that this was not a due diligence review and that Mainstar Trust has not rendered any investment advice, nor has Mainstar Trust expressed any opinion as to the prudence or suitability of the investment. I agree to hold Mainstar Trust harmless from any liability for any loss, damage, injury or expense which may occur as a result of the execution of this purchase authorization.

*Income* - All dividends, interest and all other forms of income and return of principal must be sent to Mainstar Trust. I certify that I will ensure that all payments are sent directly to Mainstar Trust and if a payment should happen to be sent to me directly, it will be immediately forwarded to Mainstar Trust. If I fail to do so, I understand that Mainstar Trust will treat those payments as a taxable distribution to me.

## NON DEPOSIT INVESTMENT PRODUCT NOTICE

I recognize that the assets purchased and/or held in this account are:

Not insured by the FDIC

Not a deposit or other obligation of, or guaranteed by Mainstar Trust

Subject to investment risks, including possible loss of principal amount invested

## DIRECTION OF INVESTMENT

I direct Mainstar Trust to execute the purchase of the above-named investment for the benefit of my self-directed account. I acknowledge that it is my sole responsibility, acting directly or through my authorized representative, to direct the investment of my account, and that Mainstar Trust, acting as custodian of my account, will not have responsibility, discretion, or involvement in evaluating or selecting any assets or investment, and shall have no liability for any loss or damage that may result from or be associated with the transaction requested herein. Furthermore, I agree to indemnify and hold Mainstar Trust harmless from and against any and all claims, liabilities, causes of action, losses and expenses (including, without limitation to, any court costs, attorney's fees and other expenses) asserted against or incurred by Mainstar Trust as a result of, or in any way relating, to action requested or directed by me or by my authorized representative whether in this Purchase Authorization or otherwise. Other than maintaining custody of the above asset, Mainstar Trust shall have no obligation to take any action with respect to the assets acquired for this account pursuant to this Purchase Authorization. Mainstar Trust may condition any action or any further action it may agree to undertake, upon its receipt from me, in form satisfactory to it, of written instruction to undertake such action, together with such further agreement or undertaking of indemnification as Mainstar Trust may reasonably request. The purchase of assets described above or any action requested or directed by the undersigned (whether in this Purchase Authorization or otherwise) is subject to all terms and conditions of the Account Agreement as amended from time to time.

## SIGNATURE

<b>Signature of Accountholder or Authorized Account Representative</b>		<b>Date</b>	
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