

**EMPLOYEE BENEFIT PLAN (IDA)  
TRADING AUTHORIZATION**

Forward To: 214 W. 9<sup>th</sup> Street  
 PO Box 420  
 Onaga, KS 66521-0420  
 P) 800.521.9897  
 F) 913.901.4190  
 CustomerService@mainstartrust.com

**Please Print or Type**

REPRESENTATIVE INFORMATION			
Representative Name		Telephone Number	
Address Line 1		Cell Phone Number	
Address Line 2		Fax Number	
City, State Zip		Broker Dealer	
Email Address	Required to receive Account Information		

AUTHORIZATION
Your representative will automatically be allowed access to online account statements and other account information. If you wish to grant additional authority, you must check the box below.
<input type="checkbox"/> My Representative is authorized to buy, sell, deliver and/or settle trades of any assets in accordance with the terms and conditions of ade by my Representative in connection with a sale or purchase on behalf of my account, including but not limited to, representations with regard to prohibited transactions and suitability requirements.

BROKER / DEALER AFFILIATION
If my Representative is affiliated with a broker-dealer firm and to assist the broker-dealer firm with its supervisory responsibilities, the Custodian may make available my account information to my Representative's respective broker-dealer.
If my Representative changes firms or broker-dealer affiliations, my Representative will continue to have the same authority on my account. It is my Representative's responsibility to notify both Mainstar Trust and me of any change in my Representative's firm or broker-dealer affiliation. My Representative also must notify the asset companies and Mainstar Trust of and complete the necessary broker-dealer documents to change his/her firm or broker-dealer affiliation.

NON-DEPOSIT INVESTMENT PRODUCT NOTICE
I recognize that the assets purchased and/or held in this IDA are:
<ul style="list-style-type: none"> <li>• Not insured by the FDIC</li> <li>• Not a deposit or other obligation of, or guaranteed by Mainstar Trust</li> <li>• Subject to investment risks, including possible loss of principal amount invested</li> </ul>

SIGNATURE
I acknowledge that I have chosen my Account Representative and that said Account Representative is not an agent of Mainstar Trust. I understand that any action taken by my Account Representative or me is subject to the terms and conditions of this Trading Authorization and the other agreements that I have with Mainstar Trust as amended from time to time.
The above is assigned as Account Representative for the following:
IDA Number _____ Print IDA Account Holder Name _____ Signature of IDA Account Holder _____ Date _____
The undersigned accepts all responsibilities and duties as Account Representative of the above listed IDA.
Signature of Account Representative _____ Date _____

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The IDA Account Holder may designate an Account Representative in a form acceptable to Mainstar Trust. Said Account Representative may be a registered representative of a broker/dealer organization, a financial advisor or other person as may be acceptable to the IDA Account Holder. The Account Representative shall be the IDA Account Holder's authorized agent and is not an agent of Mainstar Trust. Mainstar Trust shall construe any and all investment directions given by the Account Representative as having been authorized by the IDA Account Holder. The IDA Account Holder may appoint and/or remove an Account Representative by written notice to Mainstar Trust provided that the IDA Account Holder's removal of the Account Representative shall not have the effect of canceling any notice, instruction, direction, or approval received by Mainstar Trust prior to the Account Representative's removal.

## DIRECTION OF INVESTMENT

I acknowledge that it is my sole responsibility to direct the investment of my IDA and that Mainstar Trust acting as custodian of my IDA, will have no responsibility or involvement in evaluating or selecting any assets or investments, and shall have no liability for any loss, damage, tax (including a prohibited transaction tax or plan disqualification tax), or claim (including tort or fraud) that may result from or be associated with any transactions directed by me or my Account Representative. I agree to indemnify and hold Mainstar Trust harmless from and against any and all claims, liabilities, causes of action, losses, and expenses (including, without limitation, any court costs, attorney's fees and other expenses) asserted against or incurred by Mainstar Trust as a result of, or in any way relating to, any action requested or directed by me or my Account Representative.

I acknowledge that Mainstar Trust is under no obligation to determine what actions or documentation may be necessary or appropriate with respect to any transaction requested by me or my Account Representative, and that Mainstar Trust need only obtain or release the cash or documents specified by me or my Account Representative in the respective purchase and/or sale authorization. Mainstar Trust shall have no duty to confirm or ascertain that any document or instrument named by me or my Account Representative in a purchase or sale authorization is genuine or authentic, or that it has been properly or correctly executed or entered into by any purported party thereto. Mainstar Trust may condition any action it may agree to undertake, upon its receipt from me, in form satisfactory to it, of written instruction to undertake such action, together with such further agreement or undertaking of indemnification from me Mainstar Trust may reasonably request.

Mainstar Trust shall have no duty or obligation to monitor or make me or my Account Representative aware of the receipt or non-receipt of any documents or other confirmation of purchase or sale of any asset in my IDA or the receipt or non-receipt of any funds payable to my IDA with respect to any assets in my IDA or to provide me or my Account Representative with any other information or documentation that it may receive or become aware of with respect to any assets in my IDA or assets that my Account Representative may direct Mainstar Trust to purchase on behalf of my IDA. Mainstar Trust shall have no duty to undertake any action with respect to the collection or enforcement of any payments or rights relating to such assets (including, without limitation, any participation in any bankruptcy or receivership proceedings, foreclosures, or other litigation, or the perfection or enforcement of any lien or other rights with respect to such assets). Without limitation on the foregoing, Mainstar Trust may however, if it so elects, advised by counsel if deemed appropriate, respond and participate in any action, proceeding or litigation to which Mainstar Trust or my IDA may have been made a party, and in such case, Mainstar Trust shall be fully indemnified and protected by me for any expenses and any action taken by it in good faith. Mainstar Trust shall be entitled to seek the advice of legal counsel in connection with any matter relating to my IDA or any assets, and may in good faith rely and act upon such advice.

## APPLICABLE LAW

I agree that Kansas law shall govern any action undertaken by Mainstar Trust, me, and/or my Account Representative and that Kansas law shall govern my respective rights and obligations with respect to my IDA and its assets. Neither this authorization nor any authorization given by my Account Representative will become effective until a signed copy has been received and accepted by Mainstar Trust in the State of Kansas (the taking of action by Mainstar Trust upon any authorization shall constitute Mainstar Trust's acceptance). I acknowledge and understand that all duties and undertakings of Mainstar Trust will be carried out in the State of Kansas, and agree that any claims, torts, or disputes that arise in connection with my IDA must be brought in arbitrated as described in the Arbitration of Claims section of my IDA Custody Agreement.