

Forward To: 214 West 9<sup>th</sup> Street  
 PO Box 420  
 Onaga, KS 66521  
 P) 800.521.9897  
 F) 913.901.4190  
 Sales@mainstartrust.com

Please Print or Type

**ACCOUNT DETAILS**

<b>Account Name:</b>		<b>Account Number:</b>	
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**PUBLICLY TRADED ASSET**  
 (Stock, Bond, Government Security)

<b>Asset Name:</b>			
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<b>Ticker:</b>		<b>Units/Shares to Sell:</b>	
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<b>CUSIP:</b>		<b>Net Dollar Value to Sell:</b>	
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<input type="checkbox"/> <b>Sell at Market Price</b> Mainstar to facilitate with broker of its choice/proceeds of sale will be net of broker commissions
<input type="checkbox"/> <b>Limit Order Price Per Share: \$ _____</b> Order will not be executed unless the limit order price is met; Mainstar to facilitate with its broker of choice/proceeds of sale will be net of broker commissions
<input type="checkbox"/> <b>Sell Already Executed by Rep/Broker</b> Who Placed Trade: _____ If this box is selected, Mainstar Trust will not facilitate the trade

**OTHER ASSET**  
 (Annuity, Mutual Fund, Private Stock, LLC, LP, REIT, Etc.)

<b>Asset Name:</b>			
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<b>Ticker:</b>		<b>Units/Shares to Sell:</b>	
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<b>CUSIP:</b>		<b>Net Dollar Value to Sell:</b>	
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**Special Instruction**

- Describe below any documents or instruments to be furnished by Mainstar Trust on your behalf in order to effect the sales transaction described above (other than a bond, stock certificate or other instrument evidencing the asset to be sold)

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**Direction of Sale**

I, the undersigned, hereby acknowledge, agree and represent: (1) that it is my sole responsibility to direct the sale of account assets and that Mainstar Trust, acting as custodian of the account, has no responsibility, discretion, or involvement in evaluating or selecting any assets for disposition, and no liability for any loss or damage that may result from or be associated with the transaction requested herein; (2) to indemnify and hold Mainstar Trust harmless from and against any and all claims, liabilities, causes of action, losses and expenses (including, without limitation, any court costs, attorney's fees and other expenses) asserted against or incurred by Mainstar Trust as a result of or in any way relating to any action I request or direct (whether in this Sale Authorization or otherwise); (3) that other than maintaining custody, Mainstar Trust has no obligation to take any action with respect to the assets sold pursuant to this Sale Authorization and that Mainstar Trust may condition any further action it may agree to undertake upon its receipt from me, in form satisfactory to it, of written instruction to undertake such action, together with such further agreement or undertaking of indemnification from me as Mainstar Trust may reasonably request; (4) that any action I request or direct (whether in this document or otherwise) is subject to all terms and conditions of the Account Agreement as amended from time to time. (5) Any sales of multiple tax lots will be sold using the First In First Out (FIFO) inventory relief method.

Applicable to an IRA: (1) that the determination of whether the transaction directed hereby is a prohibited transaction under Internal Revenue Code ("IRC") Section 4975 depends on the facts and circumstances surrounding this sale and further that Mainstar Trust makes no determination as to whether a transaction is a prohibited transaction; (2) that I have consulted with such advisors as I deem necessary and appropriate and have determined that this transaction is not a prohibited transaction and that the contra-party or any affiliate thereof is not a "disqualified person" as defined in IRC Section 4975 (e)(2); (3) that engaging in a prohibited transaction will result in a taxable distribution equal to the fair market value of my account and may incur certain penalties and further that if such a deemed distribution takes place prior to my attaining age 59 1/2, an additional 10% premature distribution penalty may be imposed by the IRS; and (4) that Mainstar Trust, in order to protect its interests and in its sole discretion, may require me to obtain an opinion of counsel satisfactory to Mainstar Trust that this transaction does not constitute a prohibited transaction.

**SIGNATURE**

<b>Signature of Accountholder, Trustee or Authorized Account Representative:</b>		<b>Date:</b>	
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